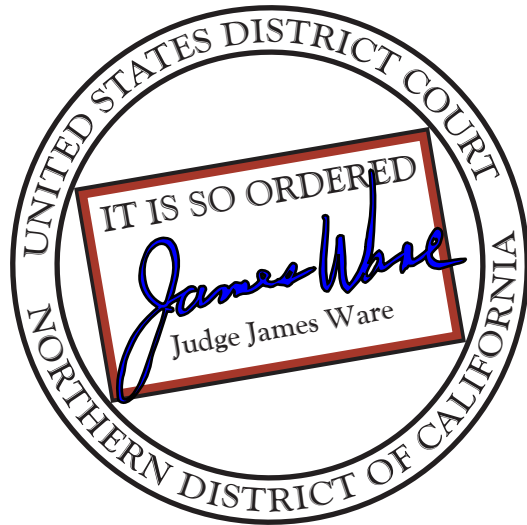


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Attorneys for Plaintiff  
VIOLETTA ETTARE



UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

VIOLETTA ETTARE,

Plaintiff,

vs.

JOSEPH E. BARATTA, an individual,  
TBIG FINANCIAL SERVICES, INC., form  
of business unknown, WACHOVIA  
SECURITIES, LLC, a Delaware Limited  
Liability Company, MARK WIELAND, an  
individual, and DOES 1-25,

Defendants.

) Case No.: C-07-4429-JW (PVT)

)  
) **JOINT STATUS REPORT ON**  
) **ARBITRATION**

)  
) **ORDER REQUIRING SECOND STATUS**  
) **REPORT ON DECEMBER 12, 2008**

The parties hereby jointly submit to the Court, through their respective counsel, this Joint Status Report, in response to the Court's Order Compelling Arbitration Before FINRA And Staying District Court Proceedings Pending Completion Of The Arbitration, dated June 11, 2008, which directed the parties to advise the Court as to the status of the parties' arbitration.

On or about June 20, 2008, plaintiff submitted a Statement of Claim to the Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process. FINRA served the Statement of Claim on the defendants. Under FINRA's Code of Arbitration Procedure, defendants have 45 days in which to submit answers in response to plaintiff's Statement of Claim. Defendants' answers are due on or about September 2, 2008. The arbitration panel and the schedule for the arbitration will be determined after defendants have filed Answers.

DATED: August 11, 2008

/s/

CHRISTOPHER C. COOKE  
STEPHEN S. WU  
COOKE KOBRICK & WU LLP  
Attorneys for Plaintiff  
VIOLETTA ETTARE

DATED: August \_\_\_, 2008

GILBERT R. SEROTA  
DIANA C. CAMPBELL MILLER  
HOWARD RICE NEMEROVSKI CANADY  
FALK & RABKIN  
Attorneys for Defendants  
JOSEPH E. BARATTA and TBIG  
FINANCIAL SERVICES, INC.

DATED: August \_\_\_, 2008

JUDY LOUIE  
WACHOVIA SECURITIES LLC  
Attorneys for Defendants  
WACHOVIA SECURITIES, LLC and  
MARK WIELAND

**\*\*\* ORDER \*\*\***

**On or before December 12, 2008, the parties shall file a Second Status Report.**

Dated: August 28, 2008

  
JAMES WARE  
United States District Judge


1 as to the status of the parties' arbitration.

2 On or about June 20, 2008, plaintiff submitted a Statement of  
3 Claim to the Financial Industry Regulatory Authority ("FINRA") to  
4 initiate the arbitration process. FINRA served the Statement of  
5 Claim on the defendants. Under FINRA's Code of Arbitration  
6 Procedure, defendants have 45 days in which to submit answers in  
7 response to plaintiff's Statement of Claim. Defendants' answers are  
8 due on or about September 2, 2008. The arbitration panel and the  
9 schedule for the arbitration will be determined after defendants  
10 have filed Answers.

11  
12 DATED: August \_\_, 2008

CHRISTOPHER C. COOKE  
STEPHEN S. WU  
COOKE KOBRICK & WU LLP  
Attorneys for Plaintiff  
VIOLETTA ETTARE

13  
14  
15  
16 DATED: August 7<sup>th</sup>, 2008

  
GILBERT R. SEROTA  
DIANA C. CAMPBELL MILLER  
HOWARD RICE NEMEROVSKI CANADY  
FALK & RABKIN  
Attorneys for Defendants  
JOSEPH E. BARATTA and TBIG  
FINANCIAL SERVICES, INC.

17  
18  
19  
20  
21 DATED: August \_\_, 2008

JUDY LOUIE  
WACHOVIA SECURITIES LLC  
Attorneys for Defendants  
WACHOVIA SECURITIES, LLC and MARK  
WIELAND

1 On or about June 20, 2008, plaintiff submitted a Statement of Claim to the  
 2 Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process.  
 3 FINRA served the Statement of Claim on the defendants. Under FINRA's Code of  
 4 Arbitration Procedure, defendants have 45 days in which to submit answers in response  
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 8

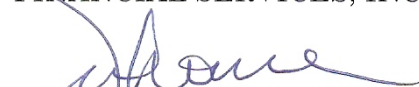
9 DATED: August \_\_, 2008

CHRISTOPHER C. COOKE  
 STEPHEN S. WU  
 COOKE KOBRICK & WU LLP  
 Attorneys for Plaintiff  
 VIOLETTA ETTARE

13 DATED: August \_\_, 2008

GILBERT R. SEROTA  
 DIANA C. CAMPBELL MILLER  
 HOWARD RICE NEMEROVSKI CANADY  
 FALK & RABKIN  
 Attorneys for Defendants  
 JOSEPH E. BARATTA and TBIG  
 FINANCIAL SERVICES, INC.

18 DATED: August 8, 2008

  
 JUDY LOUIE  
 WACHOVIA SECURITIES LLC  
 Attorneys for Defendants  
 WACHOVIA SECURITIES, LLC and  
 MARK WIELAND